

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P24099

Total Pages

Inventor(s) or Application Identifier

Masato YOSHINO, Hideaki HIGASHIMURA, Makoto NISHIKIMI, Keita NAKANO and Yoichi MIYAWAKI

Title: BRAKE SYSTEM AND BRAKE DEVICE FOR USE WITH AUTOMOBILES

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  - a. ☐ Small Entity Statement(s)
3. ☒ Specification (preferred arrangement set forth below) [Total Pages 53]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 13]
5. ☒ Oath or Declaration [Total Pages 4]
  - a. ☐ Newly executed (original or copy) ☐ Unexecuted
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 6
17. ☒ Foreign priority claimed
  - a. ☒ Claim of Priority
  - b. ☐ Certified Copy of Priority Document(s)
18. ☒ Assignee: Sumitomo Electric Industries, Ltd.  
of Hyogo, JAPAN
19. ☐ Other: \_\_\_\_\_

22387 U.S. PTO

10/671480

09/29/03

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior Application No. 09/868,633, filed September 10, 2001.  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

21. ☐ Amend the specification by inserting before the first line the sentence:This application is a continuation-in-part, continuation, divisional, of Application No. \_\_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

9-29-03

Date

Walt S. Zolde Reg. No. \_\_\_\_\_  
Signature

41,568

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

## FEE TRANSMITTAL

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Masato YOSHINO et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	P24099

TOTAL AMOUNT OF PAYMENT (\$750.00)

## METHOD OF PAYMENT (check one)

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM &amp; BERNSTEIN, P.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 01/01/03)

## 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 750.00

## 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
2	-20=	0	0
1	-3=	0	0
Multiple Dependent Claims	0	280	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1812	2,520	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR	
1805	1,840*	1805	1,840*	Prior to Examiner action	
1251	110	2251	55	Requesting publication of SIR after Examiner action	
1252	410	2252	205	Extension for response within 1st month	
1253	930	2253	465	Extension for response within 2nd month	
1254	1,450	2254	725	Extension for response within 3rd month	
1255	1,970	2255	985	Extension for response within 4th month	
1401	320	2401	160	Extension for response within 5th month	
1402	320	2402	160	Notice of Appeal	
1403	280	2403	140	Filing a brief in support of an appeal	
1451	1,510	1451	1,510	Request for oral hearing	
1452	110	2452	55	Petition to institute a public use proceeding	
1453	1,300	2453	650	Petition to revive unavoidably abandoned application	
1501	1,300	2501	650	Petition to revive unintentionally abandoned application	
1502	470	2502	235	Utility issue fee (or reissue)	
1503	630	2503	315	Design issue fee	
1460	130	1460	130	Plant issue fee	
1807	50	1807	50	Petitions to the Commissioner	
1806	180	1806	180	Petitions related to provisional applications	
8021	40	8021	40	Submission of IDS	
1809	750	2809	375	Recording each patent assignment per property (times number of properties)	
1810	750	2810	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801	750	2801	375	For each additional invention to be examined (37 CFR 1.129(b))	
1802	900	1802	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$) 0.00

## SUBMITTED BY

Typed or Printed Name Bruce H. Bernstein

Signature

Will. S. Gold Res. No. 46,568

Date

9-29-03

## Complete (if applicable)

Reg. Number

29,027

Deposit Account User ID

P24099.P03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Masato YOSHINO et al.

Serial No. : Not Yet Assigned

Filed : Concurrently Herewith

For : BRAKESYSTEM AND BRAKE DEVICE FOR USE WITH AUTOMOBILES

**CLAIM OF PRIORITY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 based upon Japanese Application No. 11-305352, filed October 27, 1999. As required by 37 C.F.R. 1.55, a certified copy of the Japanese application has been filed in parent Application No. 09/868,633, filed September 10, 2001.

Respectfully submitted,  
Masato YOSHINO et al.

Will E. Lyndel Reg. No.  
Bruce H. Bernstein 41,568  
Reg. No. 29,027

September 29, 2003  
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